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		APIRO MORIN &	DARNO, PATRICK A		
2101 L Street, NW Washington, DC 20037				ART UNIT	PAPER NUMBER
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Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
	10/619,497	IWASAKI, MASAJIRO				
Office Action Summary	Examiner	Art Unit				
	Patrick A. Darno	2163				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period v - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tin will apply and will expire SIX (6) MONTHS from the cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).				
Status						
1) Responsive to communication(s) filed on 16 Ju						
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closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims						
4) ⊠ Claim(s) <u>1-25</u> is/are pending in the application 4a) Of the above claim(s) is/are withdray 5) □ Claim(s) is/are allowed. 6) ⊠ Claim(s) <u>1-25</u> is/are rejected. 7) □ Claim(s) is/are objected to. 8) □ Claim(s) are subject to restriction and/or	wn from consideration.					
Application Papers						
9) The specification is objected to by the Examine 10) The drawing(s) filed on 16 July 2003 is/are: a) Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the Ex	□ accepted or b) □ objected to I drawing(s) be held in abeyance. Se tion is required if the drawing(s) is ob	e 37 CFR 1.85(a). pjected to. See 37 CFR 1.121(d).				
Priority under 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received.						
Attachment(s) 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date 01272006.	4) Interview Summary Paper No(s)/Mail D 5) Notice of Informal I 6) Other:					

DETAILED ACTION

1. Claims 1-25 are pending in this office action.

Claim Rejections - 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

2. Claims 1-25 are rejected under 35 U.S.C. 101 because the claimed subject does not comply with the necessary requirements for statutory subject matter.

With regards to claims 1-11, the claims represent abstract ideas describing the steps in a computer program. There is no language in the claims that ties the limitations of the claims to being executed on a computer. For example, claim 1 easily reads on a person looking through a photo album (image database) for a specific picture (representative image). Therefore it is required to include in the preamble of at least claims 1 and 5 that the method is computer implemented. Appropriate correction is required.

With regards to claims 12-18, the claims are directed to a computer program <u>person</u> <u>set</u> and do not specify that the computer program be embodied on a computer readable medium. A computer program product that is not embodied on an acceptable computer readable medium is nothing more than an abstract idea. When the computer program product is recorded on an acceptable computer readable medium it becomes structurally and functionally interrelated to the medium and will be statutory in most

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cases since use of technology permits the function of the computer program to be realized. Appropriate correction is required.

With regards to claims 19-25, the claims are directed to a computer program per se that is written to a recording medium. A computer program product that is not embodied on an acceptable computer readable medium is nothing more than an abstract idea. When the computer program product is recorded on an acceptable computer readable medium it becomes structurally and functionally interrelated to the medium and will be statutory in most cases since use of technology permits the function of the computer program to be realized. The applicant must explicitly state in the claim a computer readable medium because a recording medium could simply be a piece of paper with a program written on it. While a computer readable medium containing a computer program is a statutory invention (for the reasons cited above), a computer program written on a piece of paper is non-statutory (for reasons cited above).

Appropriate correction is required.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 3. Claims 1-3 are rejected under 35 U.S.C. 102(b) as being anticipated by U.S. Patent Number 6,240,424 issued to Kyoji Hirata (hereinafter "Hirata").

Claim 1:

Hirata teaches a method of classifying an image, comprising the steps of:

- a) extracting a query image from a plurality of images in an image database (Hirata: column 5, lines 58-65);
- b) searching, according to a predetermined similarity level, for a representative image resembling the query image in a representative image classification database in which groups of images are represented by respective representative images (Hirata: column 5, lines 36-40; Note that images are "classified under one primary object". Here the primary object is the representative image (See Hirata column 4, lines 66-67). Also note column 4, lines 7-8 and column 5, lines 58-65.);
- c) registering the query image as a new representative image in the representative image classification database when no resembling representative image is found as a result of the search according to the predetermined similarity level (Hirata: column 4, lines 1-14; This reference shows the steps for creating a new representative image. This would be done when an image does not fit into any category already determined.); and
- d) adding the query image into a group represented by the resembling representative image found as a result of the search according to the predetermined similarity level (Hirata column 4, lines 9-14 and column 7, lines 7-10).

Claim 2:

Hirata teaches all the elements of claim 1, as noted above, and Hirata further teaches wherein the images in the image database are obtainable by referring to the respective representative images in accordance with the predetermined similarity level (Hirata: column 5, line 58-column 6, line 1 and Fig. 5; Note that the primary object is the representative image as shown at column 4, lines 66-67.).

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Claim 3:

Hirata teaches all the elements of claim 1, as noted above, and Hirata comprising a step of forming the groups into a hierarchical structure (Hirata: Figs. 2A, 2B and also column 5, lines 38-40; Note in column 5, lines 38-40 states "classified under one primary object". This further shows the hierarchical structure of images under a representative image (here the primary object).), wherein the forming step further includes the steps of:

- a) extracting a further query image from the representative images in the representative images classification database (Hirata: column 5, lines 36-40; Note that images are "classified under one primary object". Here the primary object is the representative image (See Hirata column 4, lines 66-67). Also note column 4, lines 7-8 and column 5, lines 58-65.);
- b) searching, according to a further predetermined similarity level, for a further representative image resembling the further query image in a further representative image classification database in which groups of images are represented by respective further representative images (Hirata: column 5, lines 36-40; Note that images are "classified under one primary object". Here the primary object is the representative image (See Hirata column 4, lines 66-67). Also note column 4, lines 7-8 and column 5, lines 58-65.);
- c) registering the further query image as a new further representative image in the further representative image classification database when no resembling further representative image is found as a result of the search according to the further predetermined similarity level (Hirata: column 4, lines 1-14; This reference shows the steps for creating a new representative image. This would be done when an image does not fit into any category already determined.); and

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adding the further query image into a group represented by the resembling further representative image found as a result of the search according to the further predetermined similarity level (Hirata column 4, lines 9-14 and column 7, lines 7-10).

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 4. Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over Hirata in further view of U.S. Patent Application Publication Number 2003/0011683 issued to Fumitomo Yamasaki et al. (hereinafter "Yamasaki").

Claim 4:

Hirata discloses all the elements of claim 3, as noted above, but does not explicitly disclose wherein the hierarchical structure is formed as layers of a directory of a file system for managing the images in the image database.

However, Yamasaki discloses wherein the hierarchical structure is formed as layers of a directory of a file system for managing the images in the image database (Yamasaki: paragraphs [0087], [0089], and Fig. 9). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the teachings of Hirata with the teachings of Yamasaki noted above forming a directory structure of images (Yamasaki: paragraph [0089]). The skilled artisan would have been motivated to

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improve the teachings of Hirata per the above such that using the hierarchical structure, the user can readily sort out image data (Yamasaki: paragraph [0091], lines 1-5).

5. Claims 5-7, 12-14, and 19-21 are rejected under 35 U.S.C. 103(a) as being unpatentable over NPL article titled "Recursive Space Decompositions in Force-Directed Graph Drawing Algorithms" written by K.J. Pulo (hereinafter "Pulo") if further view of U.S. Patent Application 2003/0198384 issued to Michael Vrhel (hereinafter "Vrhel").

Claim 5:

Pulo discloses an image feature space display method comprising the steps of:

- a) determining k representative points (k being an integer which is more than 1) in a feature space in response to a distance between points in the feature space and representative points representative of a plurality of feature spaces surrounding the feature space (Pulo: Section 3.2 Finding The Characteristic Points, lines 39-40; Note that the k-means algorithm performs the all the same functionality described here. Section 2.2, lines 8-15 further describes the grouping of objects with respect to proximity and spatial location (distance).);
- b) obtaining k sub-feature spaces by evenly allocating the points in the feature space into k representative points (Pulo: Section 3.2 Finding The Characteristic Points, lines 41-43);
- c) dividing a display space into sub-display regions of k segments, the display space being divided in a manner so that the sub-feature spaces correspond to the sub-display regions (Pulo: Section 2.2 Recursive Space Decompositions (RSDs), lines 1-5; Note that this

describes the function of an RSD. The k-means algorithm is a type of RSD (Section 2.2 Recursive Space Decompositions (RSDs), lines 41-45).);

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d) repeating the steps a) through c) until the sub-feature spaces and the sub-display regions are divided into minimum units, respectively (Pulo: Section 2.2 Recursive Space Decompositions (RSDs), lines 2-3 and Section 3.2 Finding The Characteristic Points, lines 43-45; These two citations display a clear reference to the recursive nature of the k-means algorithm which results in the repeating of steps a, b, and c.); and

Pulo discloses the k-means algorithm for carrying out the previous limitations cited above, but Pulo does not explicitly disclose applying the k-means function for segmenting images. However, Vrhel discloses applying the k-means function to images for the purpose of segmenting the image (Vrhel: paragraph [0017], lines 6-9). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the teachings of Pulo with the teachings of Vrhel noted above. The skilled artisan would have been motivated to apply the teachings of Pulo per the above in order to classify individual pixels from an image into particular groups (Vrhel: paragraph [0010], lines 1-4).

Pulo does not explicitly disclose e) arranging each image included in a minimum unit of a sub-feature space to a corresponding one of the minimum units of the sub-display regions. However, Vrhel discloses e) arranging each image included in a minimum unit of a sub-feature space to a corresponding one of the minimum units of the sub-display regions (Vrhel: paragraph [0018], lines 17-21). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the teachings of Pulo with the teachings of Vrhel noted above for the purpose of having units of a feature

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space corresponding to units of a display (image) (Vrhel: paragraph [0018], lines 17-21). The skilled artisan would have been motivated to improve the teachings of Pulo per the above such that each feature identifies a particular portion of the display (image) (Vrhel: paragraph [0003], lines 8-11).

Claim 6:

The combination of Pulo and Vrhel discloses all the elements of claim 5, as noted above, and Pulo further discloses wherein the display space is two dimensional (Pulo: Section 2.2 Recursive Space Decompositions (RSDs), lines 13-15), wherein the feature space and the display space are divided into four sub-feature spaces and four sub-display regions in a grid manner (Pulo: Section 2.2, lines 46-55 and Fig. 2), respectively, wherein the representative points are disposed proximally with respect to two feature spaces which are arranged adjacent to each other and tangent to the sub-feature spaces, and thus disposed distally with respect to two other feature spaces which are arranged adjacent to each other but not tangent to the sub-feature spaces (Pulo: Fig. 2).

Claim 7:

The combination of Pulo and Vrhel discloses all the elements of claim 5, wherein the display space is three-dimensional (Pulo: Section 3.1 Description, lines 1-2; This reference suggests a handling a variable dimension space (d-dimensional). So three dimensional must be one of the cases considered.), wherein the feature space and the display space are divided into eight sub-feature spaces and eight display regions in a grid manner (Pulo: Section 2.2, lines 16-30; The specific example chosen by Pulo is one that divides regions by 4. This is further seen in Fig. 2. However, in Section 2.2, lines 20-22, it is explicitly stated that irregular RSDs (like the k-means algorithm) "may divide space into arbitrarily sized and shaped regions at each level." This surely covers

all types of sub-divisions, including where the feature space and display regions are divided by 8.), respectively, wherein the representative points are disposed proximally with respect to three feature spaces which are arranged adjacent to each other and tangent to the subfeature spaces, and thus disposed distally with respect to three other feature spaces which are arranged adjacent to each other but not tangent to the sub-feature spaces (Pulo: This can be seen with respect to two dimensions in Fig. 2. While a diagram is not given for an example of 3-dimensions, the references cited above in the rejection of this claim state that it would be possible to have a 3-dimensional space (d-dimensional) and divide by the sub-feature space and display region by 8 ("arbitrarily sized and shaped regions").).

Claim 12:

Claim 12 is a computer program product claim corresponding to method claim 5 and is rejected under the same reasons set forth in the rejection of claim 5.

Claim 13:

Claim 13 is a computer program product claim corresponding to method claim 6 and is rejected under the same reasons set forth in the rejection of claim 6.

Claim 14:

Claim 14 is a computer program product claim corresponding to method claim 7 and is rejected under the same reasons as set forth in the rejection of claim 7.

Claim 19:

Claim 19 is a computer program product claim corresponding to method claim 5 and is rejected under the same reasons set forth in the rejection of claim 5.

Claim <u>20:</u>

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Claim 20 is a computer program product claim corresponding to method claim 6 and is rejected under the same reasons set forth in the rejection of claim 6.

Claim 21:

Claim 21 is a computer program product claim corresponding to method claim 7 and is rejected under the same reasons set forth in the rejection of claim 7.

6. Claims 8-9, 15-16, and 22-23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Pulo in further view of Vrhel and further in view of Hirata.

Claim 8:

The combination of Pulo and Vrhel discloses all the elements of claim 5, as noted above, but the combination does not explicitly disclose wherein the points in the feature space represent images in a representative image classification database which are subject to the steps of:

- a) extracting a query image from a plurality of images in an image database;
- b) searching, according to a predetermined similarity level, for a representative image resembling the query image in the representative image classification database in which groups of images are represented by respective representative images;
- c) registering the query image as a new representative image in the representative image classification database when no resembling representative image is found as a result of the search according to the predetermined similarity level; and
- d) adding the query image into a group represented by the resembling representative image found as a result of the search according similarity level the predetermined.

However, Hirata discloses wherein the points in the feature space represent images in a representative image classification database which are subject to the steps of:

- a) extracting a query image from a plurality of images in an image database (Hirata: column 5, lines 58-65);
- b) searching, according to a predetermined similarity level, for a representative image resembling the query image in the representative image classification database in which groups of images are represented by respective representative images (Hirata: column 5, lines 36-40; Note that images are "classified under one primary object". Here the primary object is the representative image (See Hirata column 4, lines 66-67). Also note column 4, lines 7-8 and column 5, lines 58-65.);
- c) registering the query image as a new representative image in the representative image classification database when no resembling representative image is found as a result of the search according to the predetermined similarity level (Hirata: column 4, lines 1-14; This reference shows the steps for creating a new representative image. This would be done when an image does not fit into any category already determined.); and
- d) adding the query image into a group represented by the resembling representative image found as a result of the search according similarity level the predetermined (Hirata column 4, lines 9-14 and column 7, lines 7-10).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the previously mentioned combination with the teachings of Hirata noted above for the purpose of classifying and querying a database of images (Hirata: Abstract). The skilled artisan would have been motivated to improve the

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previously mentioned combination per the above such images could be classified to a group based on the similarity to a representative image of the group (Hirata: column 4, lines 1-14).

Claim 9:

The combination of Pulo, Vrhel, and Hirata discloses all the elements of claim 8, as noted above, and Hirata further discloses comprising a step of forming the groups into a hierarchical structure, wherein the forming step further includes the steps of:

- a) extracting a further query image from the representative images in the representative image classification database (Hirata: column 5, lines 58-65);
- b) searching, according a further predetermined similarity level, for a further representative image resembling further query image in a further representative image classification database in which groups of images are represented by respective further representative images (Hirata: column 5, lines 36-40; Note that images are "classified under one primary object". Here the primary object is the representative image (See Hirata column 4, lines 66-67). Also note column 4, lines 7-8 and column 5, lines 58-65.);
- c) registering the further query image as a new further representative image in the further representative image classification database when no resembling further representative image is found as result of the search according to the further predetermined similarity level (Hirata: column 4, lines 1-14; This reference shows the steps for creating a new representative image. This would be done when an image does not fit into any category already determined.); and

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d) adding the further query image into a group represented by the resembling further representative image found as a result of the search according to the further predetermined similarity level (Hirata column 4, lines 9-14 and column 7, lines 7-10).

Claim 15:

Claim 15 is a computer program product claim corresponding to method claim 8 and is rejected under the same reasons set forth in the rejection of claim 8.

Claim 16:

Claim 16 is a computer program product claim corresponding to method claim 9 and is rejected under the same reasons set forth in the rejection of claim 9.

Claim 22:

Claim 22 is a computer program product claim corresponding to method claim 8 and is rejected under the same reasons set forth in the rejection of claim 8.

Claim 23:

Claim 23 is a computer program product claim corresponding to method claim 9 and is rejected under the same reasons set forth in the rejection of claim 9.

7. Claims 10-11, 17-18, and 24-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Pulo in further view of Vrhel and further in view of U.S. Patent Application Publication Number 2003/0059121 issued to Andreas E. Savakis et al. (hereinafter "Savakis").

Claim 10:

Pulo discloses an image feature space display method comprising the steps of:

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a) dividing a feature space into three sub-feature spaces, the three sub-feature spaces being composed two sub-feature spaces disposed within a prescribed radius with respect to two reference points in the feature space, and another sub-feature space other than the two sub-feature spaces (Pulo: Section 3.2 Finding The Characteristic Points, lines 39-40; Note that the k-means algorithm performs the all the same functionality described here. Section 2.2, lines 8-15 further describes the grouping of objects with respect to proximity and spatial location (distance). Further note that Pulo discloses dividing into a k (variable) amount of subsections.);

- b) dividing a display space into sub-display regions of three segments, the display space being divided a same manner as the feature space so that the sub-feature spaces correspond to the sub-display regions (Pulo: Section 2.2 Recursive Space Decompositions (RSDs), lines 1-5; Note that this describes the function of an RSD. The k-means algorithm is a type of RSD (Section 2.2 Recursive Space Decompositions (RSDs), lines 41-45).);
- c) repeating the steps a) and b) the sub-feature spaces and the sub-display regions are divided into minimum units, respectively (Pulo: Section 2.2 Recursive Space Decompositions (RSDs), lines 2-3 and Section 3.2 Finding The Characteristic Points, lines 43-45; These two citations display a clear reference to the recursive nature of the k-means algorithm which results in the repeating of steps a, b, and c.); and

Pulo discloses the k-means algorithm for carrying out the previous limitations cited above, but Pulo does not explicitly disclose applying the k-means function for segmenting images. However, Vrhel discloses applying the k-means function to images for the purpose of segmenting the image (Vrhel: paragraph [0017], lines 6-9). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the teachings of Pulo with the teachings of Vrhel noted above. The skilled

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artisan would have been motivated to apply the teachings of Pulo per the above in order to classify individual pixels from an image into particular groups (Vrhel: paragraph [0010], lines 1-4).

Pulo does not explicitly disclose e) arranging each image included in a minimum unit of a sub-feature space to a corresponding one of the minimum units of the sub-display regions. However, Vrhel discloses e) arranging each image included in a minimum unit of a sub-feature space to a corresponding one of the minimum units of the sub-display regions (Vrhel: paragraph [0018], lines 17-21). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the teachings of Pulo with the teachings of Vrhel noted above for the purpose of having units of a feature space corresponding to units of a display (image) (Vrhel: paragraph [0018], lines 17-21). The skilled artisan would have been motivated to improve the teachings of Pulo per the above such that each feature identifies a particular portion of the display (image) (Vrhel: paragraph [0003], lines 8-11).

The combination of Pulo and Vrhel does not explicitly disclose dividing the feature space and the display space into specifically three subsections. However, Savakis discloses using the k-means function to divide subject matter into three subsections (Savakis: paragraph [0082], lines 2-4). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the previously mentioned combination with the teachings of Savakis noted above. The skilled artisan would have been motivated to improve the previously mentioned combination because Pulo specifically suggests that the subdivisions produced by the k-means algorithm (an

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irregular RSD) may divided subject matter into any arbitrary size and shape (Pulo: Section 2.2, lines 20-22; Therefore a larger shape results in less subdivisions and larger shape results in more subdivisions. This leaves selecting 3 subdivisions as a design choice.).

Claim 11:

The combination of Pulo, Vrhel, and Savakis discloses all the elements of claim 10, as noted above, and Pulo further discloses wherein the reference points are selected from points disposed nearest to representative points included in the two subfeature spaces (Pulo: Section 3.2, lines 39-43 and Section 2.2, lines 8-15).

Claim 17:

Claim 17 is a computer program product claim corresponding to method claim 10 and is rejected under the same reasons set forth in the rejection of claim 10.

Claim 18:

Claim 18 is a computer program product claim corresponding to method claim 11 and is rejected under the same reasons set forth in the rejection of claim 11.

Claim 24:

Claim 24 is a computer program product claim corresponding to method claim 10 and is rejected under the same reasons set forth in the rejection of claim 10.

Claim 25:

Claim 25 is a computer program product claim corresponding to method claim 11 and is rejected under the same reasons set forth in the rejection of claim 11.

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Contact Information

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Patrick A. Darno whose telephone number is (571) 272-0788. The examiner can normally be reached on Monday - Friday, 9:00 am - 5:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Safet Metjahic can be reached on (571) 272-4023. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Examiner
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